

PHILADELPHIA  
ATLANTA  
CHARLOTTE  
CHERRY HILL  
CHICAGO  
DALLAS  
DENVER  
LAS VEGAS  
LONDON  
LOS ANGELES



A PROFESSIONAL CORPORATION

RECEIVED  
CENTRAL FAX CENTER

FEB 11 2005

NEWARK  
NEW YORK  
SAN DIEGO  
SAN FRANCISCO  
SEATTLE  
TRENTON  
WASHINGTON, DC  
WEST CONSHOHOCKEN  
WICHITA  
WILMINGTON

1900 MARKET STREET PHILADELPHIA, PA 19103-3508 215.665.2000 800.523.2900 215.665.2013 FAX www.cozen.com

## FACSIMILE

FROM: Brian L. Belles TIMEKEEPER NO.: 1763  
SENDER'S PHONE: 215.665.7244 SENDER'S FAX: 215.701.2044  
# OF PAGES (INCLUDING COVER): 22 FILE NAME: KADIRI  
DATE: February 11, 2005 FILE #: 152890

RECIPIENT(S)	PHONE	FAX
USPTO -Mail Stop Petitions		703 872-9306

## MESSAGE:

Serial Number 09/805,646  
AUTOMATED PROCESS GUIDANCE SYSTEM AND METHOD  
Filed: March 13, 2001

Following please find: transmittal form; Petition for Patent Term Restoration on 2 pages; copy of Petition for Patent Term Adjustment (originally filed Dec. 10, 2004 and entered in error as a Miscellaneous Incoming Letter) with all the attachments (18 pages). Total pages including this cover sheet: 22

IF YOU DO NOT RECEIVE ALL PAGES, PLEASE CALL [215.665.2000] or [800.523.2900] IMMEDIATELY.

THIS TRANSMISSION IS ALSO BEING SENT VIA:

- ☐ Regular Mail  
☐ Certified Mail  
☐ Hand Delivery  
☐ Overnight Mail  
☐ Federal Express  
☐ E-Mail

## NOTICE

The information contained in this transmission is privileged and confidential. It is intended for the use of the individual or entity named above. If the reader of this message is not the intended addressee, the reader is hereby notified that any consideration, dissemination or duplication of this communication is strictly prohibited. If the addressee has received this communication in error, please return this transmission to us at the above address by mail. We will reimburse you for postage. In addition, if this communication was received in the U.S., please notify us immediately by phoning and asking for the Fax Center.

Approved for use through 07/31/2006. OMB 0551-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

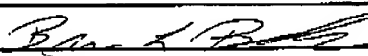
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.


RECEIVED  
CENTRAL FAX CENTER

FEB 11 2005

<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	09/805,646
	Filing Date	March 13, 2001
	First Named Inventor	Grimse
	Art Unit	2121
	Examiner Name	Holmes
Total Number of Pages in This Submission	Attorney Docket Number	152890-.001

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input checked="" type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appoint Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Copy of Petition filed with USPTO on Dec. 10, 2004
<b>Remarks</b>  		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm	Cozen O'Connor
Signature	
Printed Name	Brian L. Belles
Date	2/11/05
Reg. No.	51,322

CERTIFICATE OF TRANSMISSION/MAILING	
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.	
Signature	
Typed or printed name	Brian L. Belles
Date	2/11/05

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an Application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PYO-9199 and select option 2.

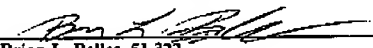
**DOCKET NO. 152890-001**

Serial No. 09/805,646

Petition for Patent Term Restoration

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE****RECEIVED**  
CENTRAL FAX CENTERIn re application of: **Mark Grimse et al.**Confirmation No. **4015****FEB 11 2005**Serial No.: **09/805,646**Group Art Unit: **2121**Filed: **March 13, 2001**Examiner: **Michael B. Holmes**Title: **AUTOMATED PROCESS GUIDANCE SYSTEM AND METHOD**

This will certify that this correspondence is being  
transmitted to the USPTO via facsimile to 703 782-  
9306 on 2/11/05

  
Brian L. Belles, 51,322**MAIL STOP PETITION**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

**PETITION FOR PATENT TERM RESTORATION UNDER 37 CFR § 1.705(d)**

Pursuant to 37 C.F.R. § 1.705(d), Applicants hereby request that the Patent Term Adjustment be set at 470 days. Applicants attach a copy of a Petition for Patent Term Adjustment under 37 CFR § 1.705(b) which was timely filed with the United States Patent and Trademark Office via facsimile on December 10, 2004, but which was incorrectly entered by the USPTO as "miscellaneous incoming letter" and was never considered. Because of the PTO's error, not only was applicant not granted the additional 171 days of patent term adjustment requested in the December 10, 2004 Petition (making the total 470 days), but Applicant was improperly charged with an additional 54 days of delay as a result of the PTO mis-characterizing of its Petition.. Applicant requests that the December 10, 2004 Petition for Patent Term Adjustment be considered and granted, the fee for this petition be

**DOCKET NO. 152890-001**

Serial No. 09/805,646

**Petition for Patent Term Reinstatement**

waived and the erroneous 54 day delay be removed so that the total patent term adjustment is 470 days.

Respectfully submitted,



Brian L. Belles

Registration No. 51,322

COZEN O'CONNOR, P.C.

1900 Market Street, 5<sup>th</sup> Floor

Philadelphia, PA 19103-3508

Telephone: (215) 665-7244

Facsimile: (215) 701-2044

Date: 2/11/05

## RECEIVED IN THE UNITED STATES PATENT &amp; TRADEMARK OFFICE

Paper: PTO Form PTOL-85 in duplicate; authorization to charge deposit account 50-1275 for \$995.00, (issue fee (\$700.00), publication fee (\$300.00) and ten advance copies of patent (\$30.00)); Application for Patent Term Adjustment including Exhibits A, B and C; all via Express Mail Label No. EL 963418402 US  
Title: AUTOMATED PROCESS GUIDANCE SYSTEM AND METHOD  
Applicants: Mark Grimse et al.  
Serial No.: 09/805,646  
Filing Date: March 13, 2001  
Docket No.: 152890-001

Date Sent: December 10, 2004

BLB:CHII

## RECEIVED IN THE UNITED STATES PATENT &amp; TRADEMARK OFFICE

Paper: PTO Form PTOL-85 in duplicate; authorization to charge deposit account 50-1275 for \$995.00, (issue fee (\$700.00), publication fee (\$300.00) and ten advance copies of patent (\$30.00)); Application for Patent Term Adjustment including Exhibits A, B and C; all via Express Mail Label No. EL 963418402 US  
Title: AUTOMATED PROCESS GUIDANCE SYSTEM AND METHOD  
Applicants: Mark Grimse et al.  
Serial No.: 09/805,646  
Filing Date: March 13, 2001  
Docket No.: 152890-001

Date Sent: December 10, 2004

BLB:CHII

DEC 20 2004





EL 963418402 US

Mailing Label

Label 11-F June 2002



UNITED STATES POSTAL SERVICE®

Post Office To Addressee

ORIGIN (POSTAL USE ONLY)				DELIVERY (POSTAL USE ONLY)			
PO ZIP Code		Day of Delivery <input type="checkbox"/> First <input type="checkbox"/> Second		Delivery Attempt		Time	
Date in		Postage		Mo. Day		<input type="checkbox"/> AM <input type="checkbox"/> PM	
Mo. Day Year		Return Receipt Fee		Delivery Attempt		Time	
Time in		COD Fee		Mo. Day		<input type="checkbox"/> AM <input type="checkbox"/> PM	
<input type="checkbox"/> AM <input type="checkbox"/> PM		Insurance Fee		Delivery Date		Time	
Weight		Total Postage & Fees		Mo. Day		<input type="checkbox"/> AM <input type="checkbox"/> PM	
Int'l Alpha Country Code		Acceptance Clerk Initials		Employee Signature		Employee Signature	
No Delivery		Total Postage & Fees		Employee Signature		Employee Signature	
<input type="checkbox"/> Weekend <input type="checkbox"/> Holiday		\$		Employee Signature		Employee Signature	
REMOVED USE ONLY METHOD OF PAYMENT Down Mail Comments App. No.				Federal Agency Acct. No. or Postal Service Acct. No.			
FROM: (PLEASE PRINT) Brian L. Belles COZEN O'CONNOR 1900 MARKET ST FL 1 ATRIUM PHILADELPHIA PA 19103-3527 December 10, 2004 152890-001				TO: (PLEASE PRINT) Mail Stop Issue Fee COMMISSIONER FOR PATENTS PD BOX 1450 ALEXANDRIA VA 22313-1450			
PRESS HARD. You are making 3 copies.				FOR PICKUP OR TRACKING CALL 1-800-222-1811 www.usps.com			

## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
(703) 746-4000

EL963418402US

or Fax

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance order and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address, and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

34132 7590 09/15/2004

COZEN O'CONNOR, P.C.  
1900 MARKET STREET  
PHILADELPHIA, PA 19103-3508

Express Mail Label No. EL963418402US  
Date of Deposit: December 10, 2004

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmittal.

Certificate of Mailing or Transmittal  
I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

(Depositor's Name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/805,646	03/13/2001	Mark Grimes	1040659-991101	4013

TITLE OF INVENTION: AUTOMATED PROCESS GUIDANCE SYSTEM AND METHOD

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$663	\$300	\$963	12/15/2004

EXAMINER	ART UNIT	CLASS-SUBCLASS
HOLMES, MICHAEL B	2121	706-059000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47, Rev 03-02, or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  
(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

Cozen O'Connor P.C.  
Brian L. Belles, Esq.  
3

## 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Kadiri Inc.

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Burlingame, California

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee  
☒ Publication Fee (No small entity discount permitted)  
☒ Advance Order - # of Copies ten (10)

4b. Payment of Fee(s):

- ☐ A check in the amount of the fee(s) is enclosed.  
☐ Payment by credit card, Form PTO-2038 is attached.  
☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 50-1273 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(b)(7).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.  
NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Brian L. Belles

Date December 10, 2004

Typed or printed name Brian L. Belles

Registration No. 51,322

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including entering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**DOCKET NO. 152896-J1**  
Serial No. 09/805,646  
Application for Patent Term Adjustment

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: **Mark Grimse et al.**

Confirmation No. **4015**

Serial No.: **09/805,646**

Group Art Unit: **2121**

Filed: **March 13, 2001**

Examiner: **Michael B. Holmes**

Title: **AUTOMATED PROCESS GUIDANCE SYSTEM AND METHOD**

**EXPRESS MAIL LABEL NO. EL963418402US**  
**DATE OF DEPOSIT: December 10, 2004**

**MAIL STOP ISSUE FEE**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**1. APPLICATION FOR PATENT TERM ADJUSTMENT**

Pursuant to 37 C.F.R. § 1.705, Applicants hereby request reinstatement of 171 days of the term reduced pursuant 37 C.F.R. § 1.704(b). This request is being filed with the payment of the issue fee and is accompanied by the fees set forth in 37 C.F.R. §§ 1.18(e)+(f). The facts supporting this request are set forth below.

**Statement of the Facts**

**1. The patent term adjustment for examination delay**

A copy of the Patent Term Adjustment sheet for this application is attached as Exhibit A. As correctly indicated thereon, the USPTO delay is 470 days. The above-identified patent application was filed under 35 U.S.C. § 111(a) on March 13, 2001. Fourteen months from the date the application was filed under 35 U.S.C. § 111(a) was May 13, 2002. A Non-Final Rejection, under 35 U.S.C. § 132, was mailed on August 26, 2003 (see Exhibit A). The date of the Non-Final Rejection was 470 days beyond 14 months from the date the application was filed under 35 U.S.C. § 111(a). Thus, Applicants submit that the correct patent term adjustment is 470 days.



**DOCKET NO. 152890-uu1**

Serial No. 09/805,646

Application for Patent Term Adjustment

**2. The relevant dates for which adjustment is sought**

Adjustment is not sought for additional delay on the part of the USPTO, but for an incorrect charge of delay to the Applicants. The Patent Term Adjustment sheet (Exhibit A) incorrectly indicates that the Applicants' delay is 176 days, and deducts this period of delay from the patent term adjustment due. This delay appears to be due to the filing of an allegedly late Response to the August 26, 2004 Non-Final Rejection on May 20, 2004 (see Exhibit A).

While the Patent Term Adjustment sheet (Exhibit A) shows the Response to the August 26, 2003 Non-Final Rejection as being filed on May 20, 2004, a review of the Detailed Image File Wrapper Summary, attached hereto as Exhibit B, shows that Applicants, in fact, filed a Response to the August 26, 2004 Non-Final Rejection on December 1, 2003. However, the USPTO lost the December 1, 2003 Response through no fault of the Applicants. As a result, the Examiner never received the December 1, 2003 Response. On May 20, 2004, after a discussion with the Examiner, Applicants forwarded copies of the December 1, 2003 Response and the necessary proof of the December filing to the USPTO (see Transmittal Letter, attached hereto as Exhibit C). Thus, *the period of delay on part of the Applicants is 5 days*, judged from the December 1, 2003 Response filing date, not 176 days. It is inequitable to charge the Applicant for the USPTO's actions in misplacing the Applicant's properly filed Response.

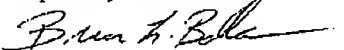
**3. Terminal disclaimer**

The present application is not subject to terminal disclaimer.

**4. Representations regarding reasonable efforts**

With the exception of the 5 day delay noted above, to the undersigned's knowledge, there were no circumstances constituting a failure to engage in reasonable efforts to conclude processing or examination of this application as set forth in 37 C.F.R. § 1.704.

Respectfully submitted,



Brian L. Belles

Registration No. 51,322

COZEN O'CONNOR, P.C.

1900 Market Street, 5<sup>th</sup> Floor

Philadelphia, PA 19103-3508

Telephone: (215) 665-7244

Facsimile: (215) 701-2044

Date: December 10, 2004